A decorative graphic on the right side of the slide consists of several thick, rounded lines in blue, red, green, and yellow. These lines are arranged in a way that suggests a path or a network. A blue line starts at the top, curves left, then down, then right. A red line starts at the top, curves left, then down, then right. A green line starts at the bottom, curves left, then up, then right. A yellow line starts at the bottom, curves left, then up, then right. There are small dots at the end of each line: a blue dot on the blue line, a red dot on the red line, a green dot on the green line, and a yellow dot on the yellow line. The green dot is surrounded by a faint, dotted circle.

Across the Great Divide: from ML Theory to Practice

Aditya Krishna Menon

Google NYC

Google Research

Introduction

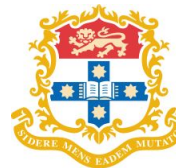
Research Scientist at Google NYC

Working on machine learning algorithm design and analysis



Past lives:

- USyd
- UCSD
- NICTA/CSIRO Data61/ANU

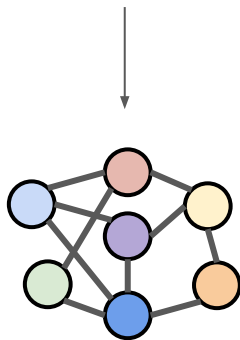


Supervised learning in theory

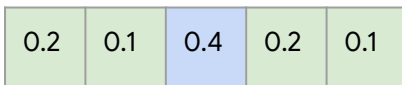
Training data



Model training



Model predictions



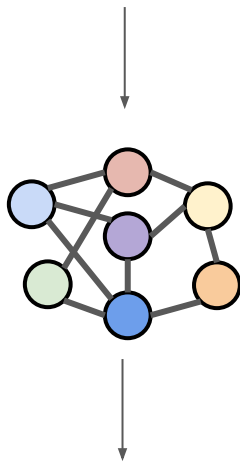
Supervised learning in theory

Training data



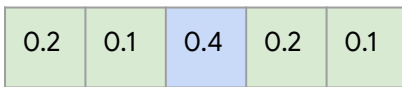
$$\{(x_n, y_n)\}_{n=1}^N$$

Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

Model predictions



$$f(x^*)$$

Supervised learning in practice

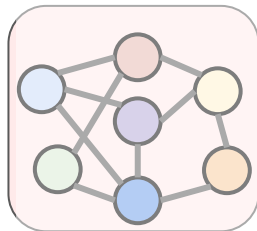
Training data



$$\{(x_n, y_n)\}_{n=1}^N$$

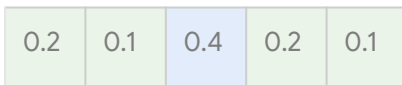
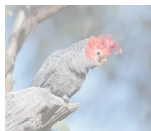
Model training

What if the model size is **too large**?



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

Model predictions



$$f(x^*)$$

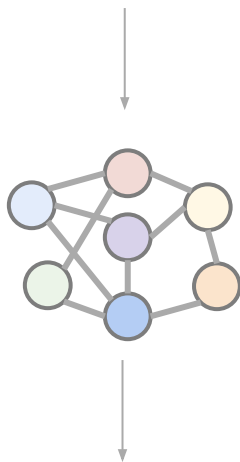
Supervised learning in practice

Training data



$$\{(x_n, y_n)\}_{n=1}^N$$

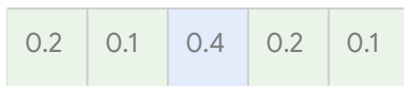
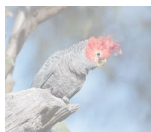
Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

What if this loss is **expensive** to compute?

Model predictions



$$f(x^*)$$

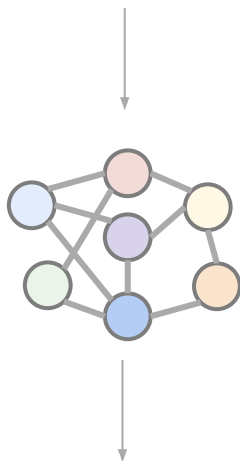
Supervised learning in practice

Training data



$$\{(x_n, y_n)\}_{n=1}^N$$

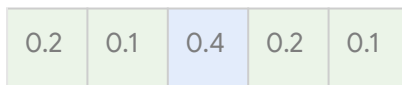
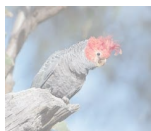
Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

What if this operation is **stochastic**?

Model predictions



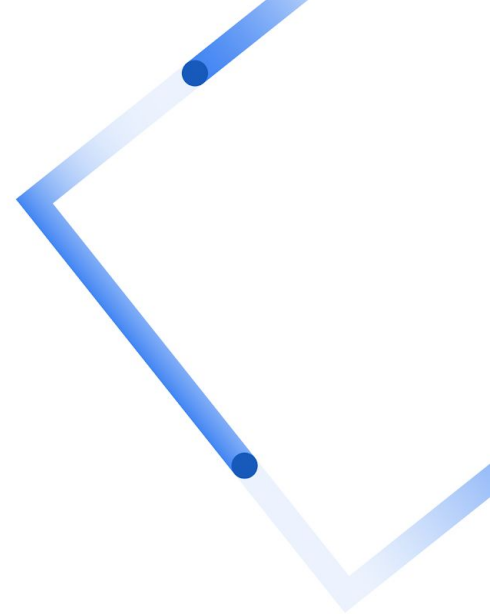
$$f(x^*)$$

Agenda

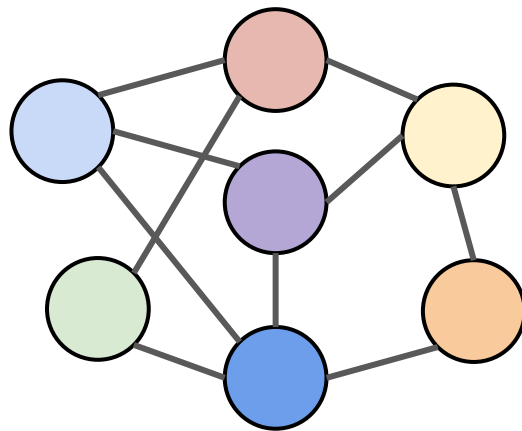
- 01 Background
- 02 Distillation
- 03 Extreme classification
- 04 Churn
- 05 Summary

01

Background

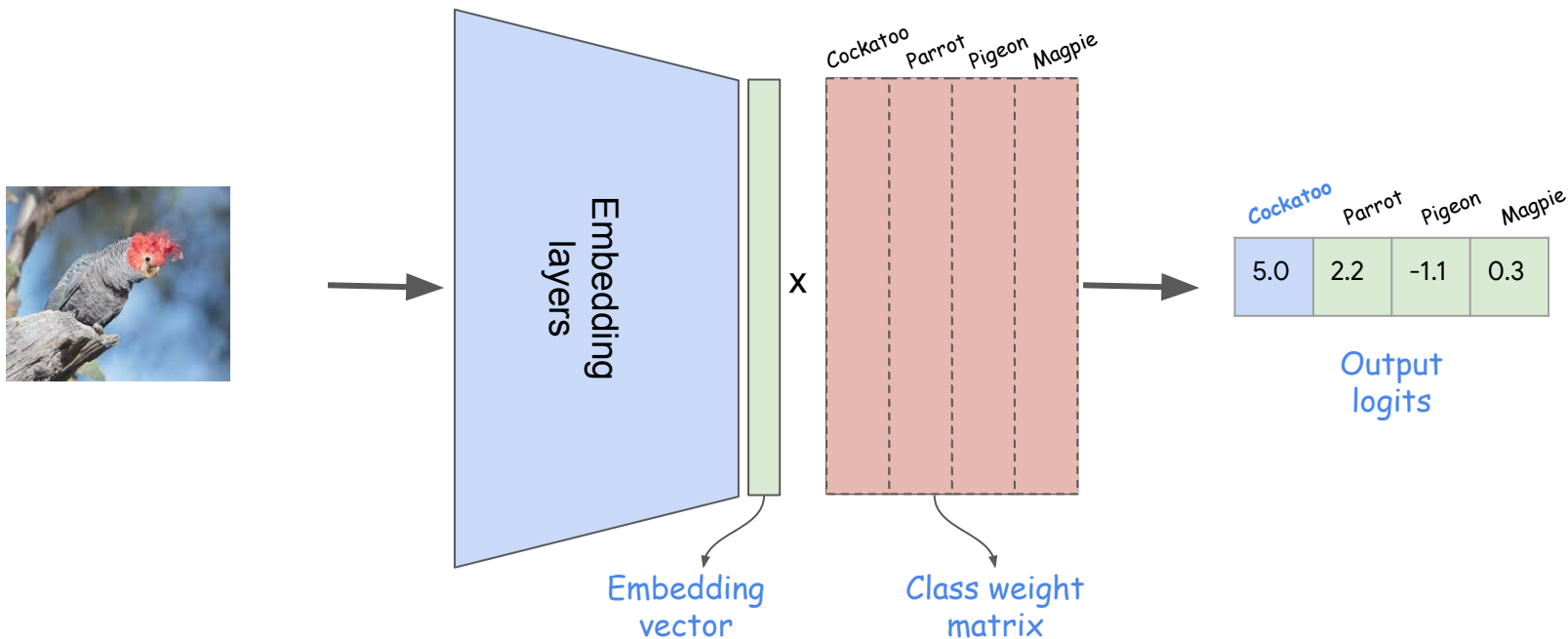


Neural networks for classification



"Cockatoo"

Neural networks for classification



Neural networks for classification

Training objective: minimise **softmax cross-entropy**

$$\log \sum \exp \begin{array}{c} \text{Cockatoo} \\ \text{Parrot} \\ \text{Pigeon} \\ \text{Magpie} \end{array} \begin{array}{|c|c|c|c|} \hline 5.0 & 2.2 & -1.1 & 0.3 \\ \hline \end{array} - \begin{array}{|c|} \hline 5.0 \\ \hline \end{array}$$

This approximately minimises the (negative) **prediction margin**:

$$\max \begin{array}{c} \text{Parrot} \\ \text{Pigeon} \\ \text{Magpie} \end{array} \begin{array}{|c|c|c|} \hline 2.2 & -1.1 & 0.3 \\ \hline \end{array} - \begin{array}{|c|} \hline 5.0 \\ \hline \end{array}$$

Highest score of
"wrong" label

Neural networks for classification

Training objective: minimise **softmax cross-entropy**

$$\log \sum \exp \begin{matrix} \text{Cockatoo} & \text{Parrot} & \text{Pigeon} & \text{Magpie} \\ \boxed{5.0} & \boxed{2.2} & \boxed{-1.1} & \boxed{0.3} \end{matrix} - \boxed{5.0}$$

This equivalently minimises the **KL divergence**:

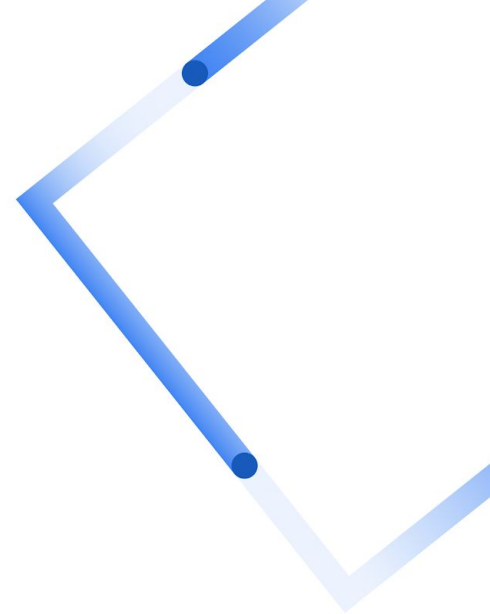
$$\text{KL}(\mathbf{e}_y \parallel \mathbf{p}(x))$$

One-hot label vector $\begin{bmatrix} 1.0 & 0.0 & 0.0 & \dots \end{bmatrix}$ $\text{KL}(\mathbf{e}_y \parallel \mathbf{p}(x))$ $\begin{bmatrix} 0.3 & 0.5 & 0.1 & \dots \end{bmatrix}$ *Softmax* probability vector

$$p_i(x) = \frac{\exp(s_i(x))}{\sum_{j \in [L]} \exp(s_j(x))}$$

02

Distillation



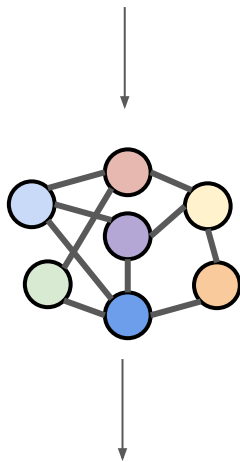
Supervised learning in theory

Training data



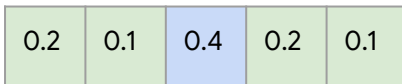
$$\{(x_n, y_n)\}_{n=1}^N$$

Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

Model predictions



$$f(x^*)$$

Supervised learning in practice

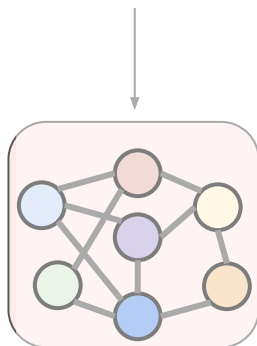
Training data



$$\{(x_n, y_n)\}_{n=1}^N$$

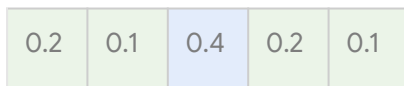
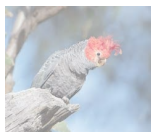
Model training

What if the model size is **too large**?



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

Model predictions

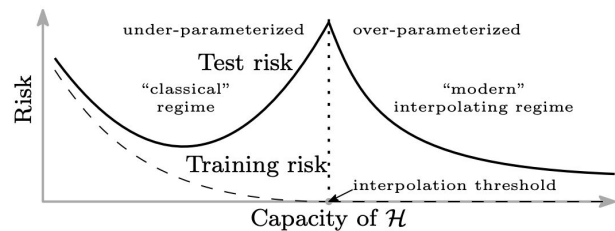
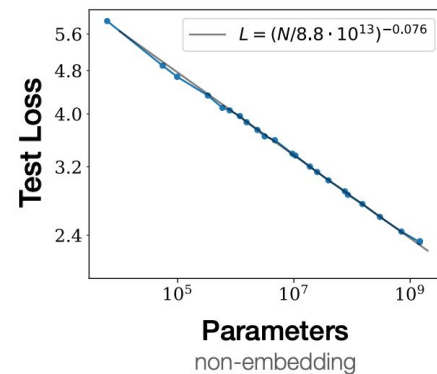


$$f(x^*)$$

Why increase model size?

😊 Can **work better!**

Particularly for complex tasks, e.g.,
language modelling



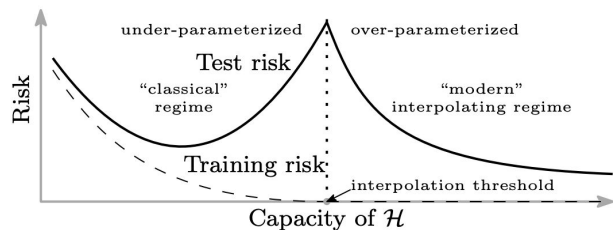
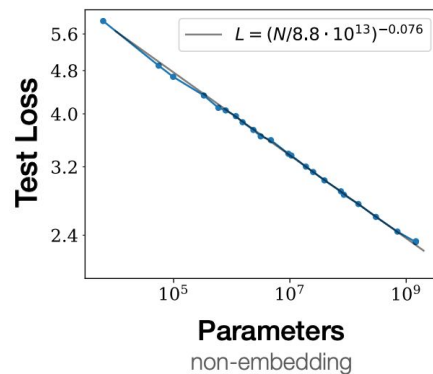
Why (not) increase model size?

😊 Can **work better!**

Particularly for complex tasks, e.g.,
language modelling

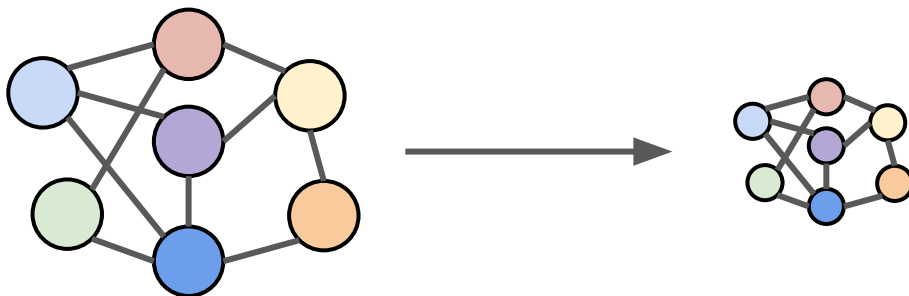
😞 More expensive to **train**

😡 More expensive to **predict**



Idea: model compression

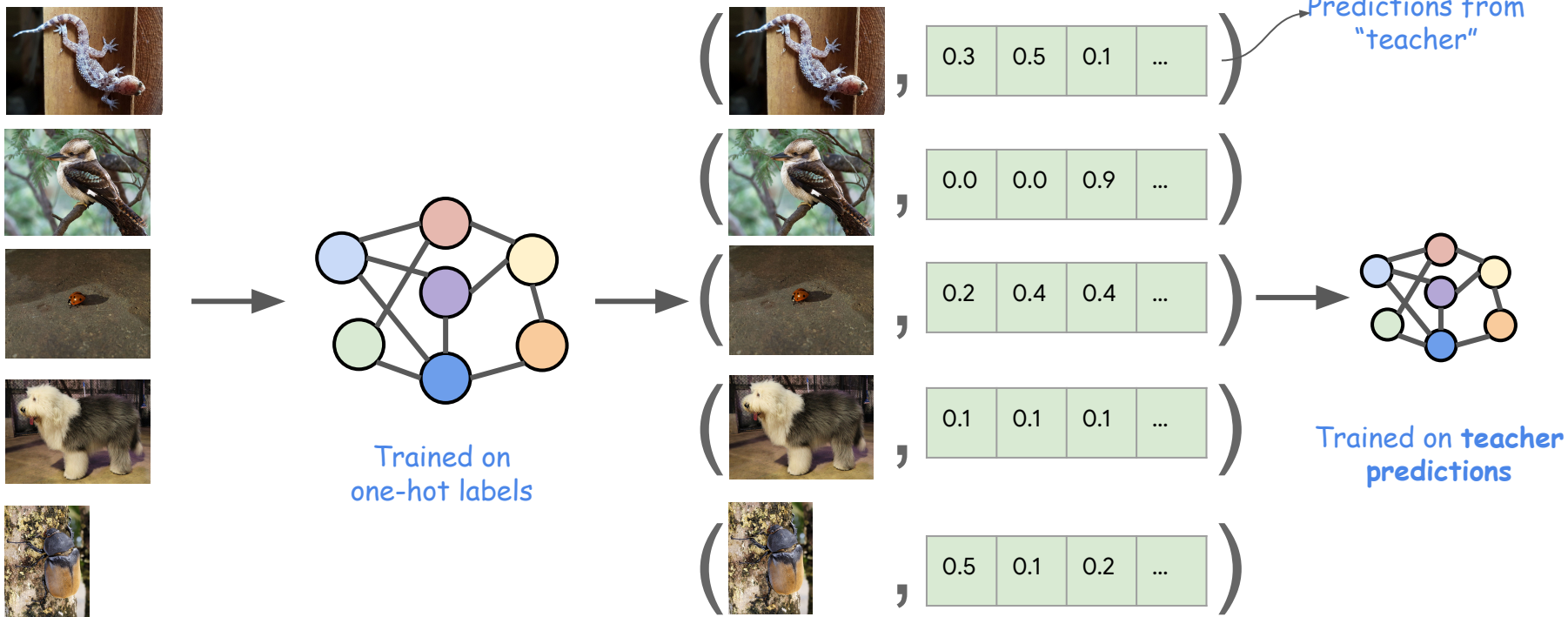
Ideally, **compress** our model while **preserving** performance



Many options: quantisation, architecture optimisation, **distillation**, ,...

Distillation in a nutshell

Train a “student” model using **soft predictions** from “teacher” model



Distillation loss function

Minimise

softmax cross-entropy

$$\log \sum \exp \begin{array}{|c|c|c|c|} \hline \text{Cockatoo} & \text{Parrot} & \text{Pigeon} & \text{Magpie} \\ \hline 5.0 & 2.2 & -1.1 & 0.3 \\ \hline \end{array} - \begin{array}{|c|} \hline 5.0 \\ \hline \end{array}$$

Distillation loss function

Minimise **teacher-weighted** softmax cross-entropy

$$\begin{aligned} & p^t(\text{Cockatoo}) \times \log \sum \exp \begin{matrix} \text{Cockatoo} & \text{Parrot} & \text{Pigeon} & \text{Magpie} \\ \begin{matrix} 5.0 & 2.2 & -1.1 & 0.3 \end{matrix} \end{matrix} - 5.0 + \\ & p^t(\text{Parrot}) \times \log \sum \exp \begin{matrix} \begin{matrix} 5.0 & 2.2 & -1.1 & 0.3 \end{matrix} \end{matrix} - 2.2 + \\ & p^t(\text{Pigeon}) \times \log \sum \exp \begin{matrix} \begin{matrix} 5.0 & 2.2 & -1.1 & 0.3 \end{matrix} \end{matrix} - -1.1 + \\ & p^t(\text{Magpie}) \times \log \sum \exp \begin{matrix} \begin{matrix} 5.0 & 2.2 & -1.1 & 0.3 \end{matrix} \end{matrix} - 0.3 + \end{aligned}$$

Distillation loss function: formally

Suppose the teacher's predictions are p^t

Then, we may minimise:



Input data

$$\frac{1}{N} \sum_{n=1}^N \left[(1 - \alpha) \cdot \text{KL}(\mathbf{e}_{y_n} \parallel p(x_n)) + \alpha \cdot \text{KL}(p^t(x_n) \parallel p(x_n)) \right]$$

Mixing weight

1.0	0.0	0.0	...
-----	-----	-----	-----

"One-hot"
label

0.3	0.5	0.1	...
-----	-----	-----	-----

"Soft"
label

Why does distillation help?

Transfers **class relationship** information

“Dark knowledge”

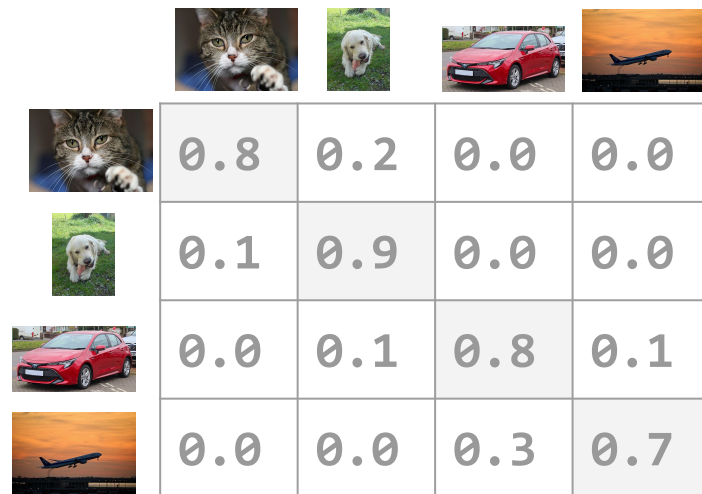
Learns which errors to penalise more









Per-sample **label smoothing**

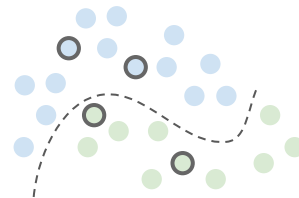
Prevents over-confident predictions

Can be used on **unlabelled samples**

Form of semi-supervised learning!



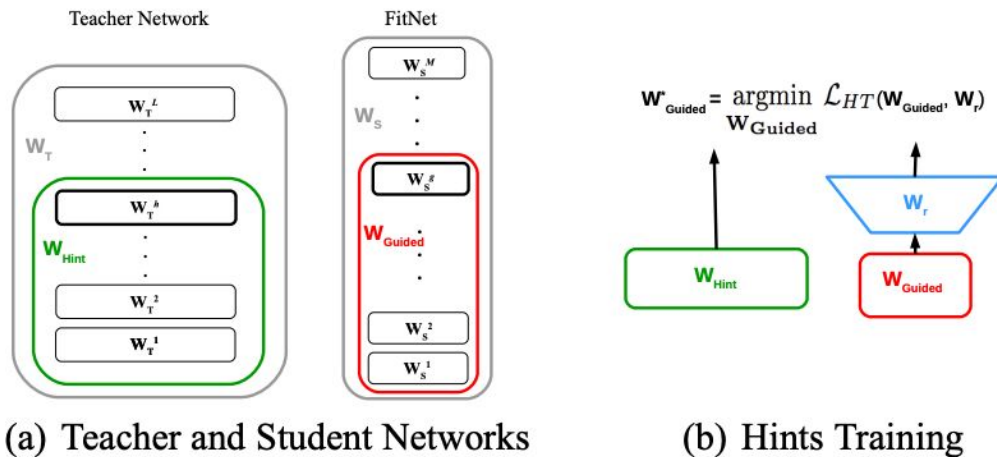
			
	0.8	0.2	0.0
	0.1	0.9	0.0
	0.0	0.1	0.8
	0.0	0.0	0.3
	0.0	0.0	0.7



Beyond probability matching

Can match **more structure** in teacher model

e.g., match embeddings, pairwise similarities, ...



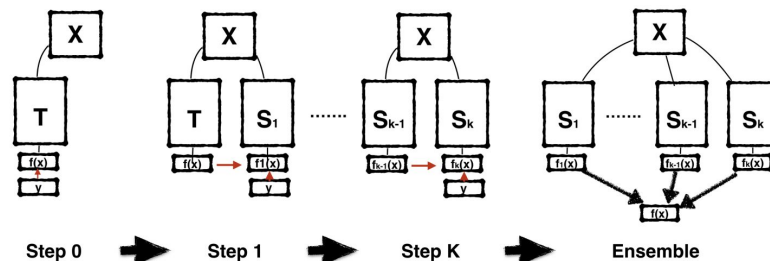
(a) Teacher and Student Networks

(b) Hints Training

Do we need complex teachers?

No. You can “self-distill” (!)

Can give non-trivial gains



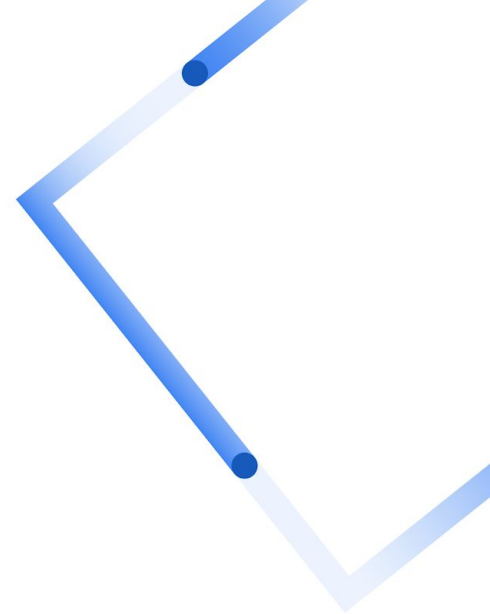
Why does this help?

Mostly an active area of research

One view: sample-dependent regularisation

03

Extreme classification



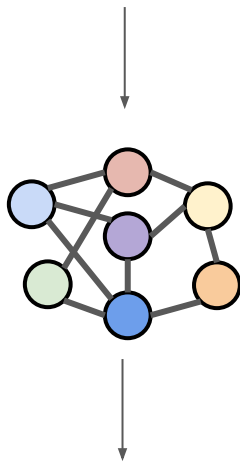
Supervised learning in theory

Training data



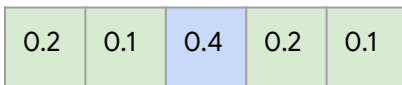
$$\{(x_n, y_n)\}_{n=1}^N$$

Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

Model predictions



$$f(x^*)$$

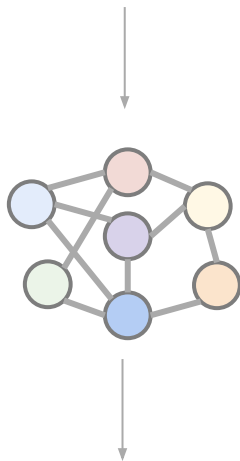
Supervised learning in practice

Training data



$$\{(x_n, y_n)\}_{n=1}^N$$

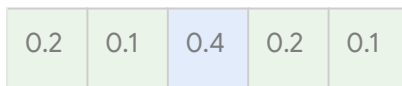
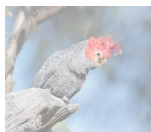
Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

What if this loss is **expensive** to compute?

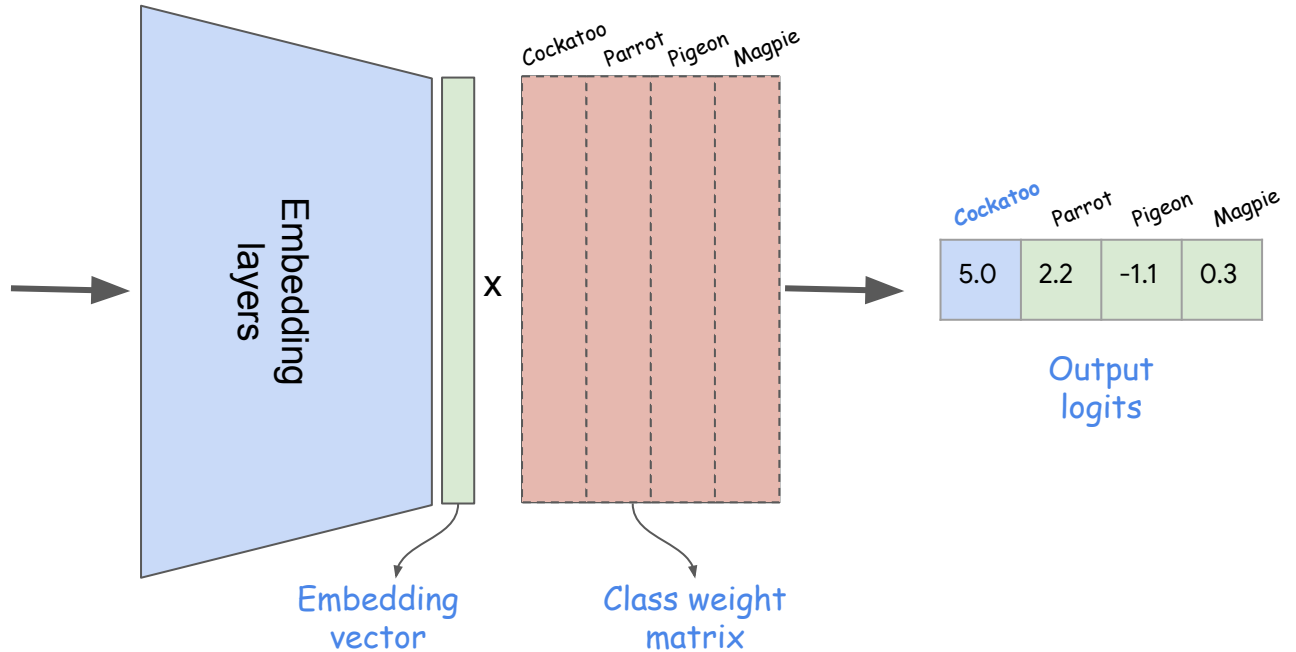
Model predictions



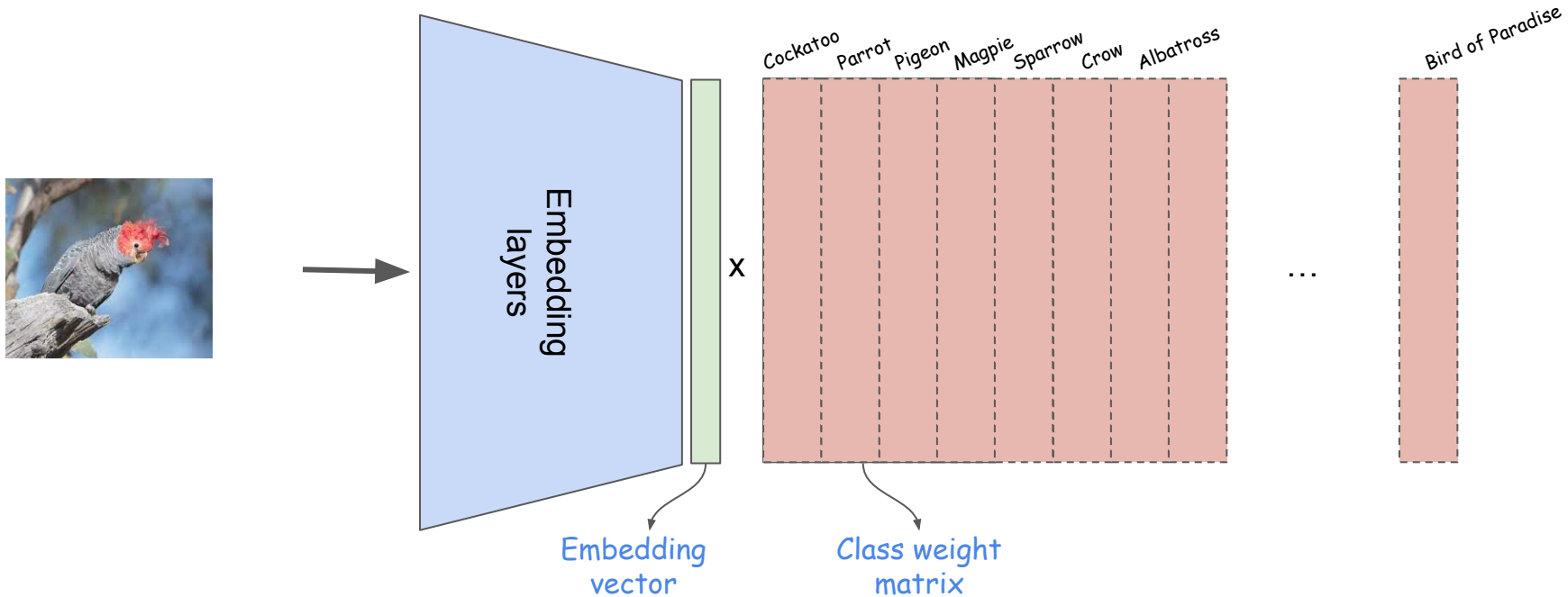
$$f(x^*)$$

Neural networks for

classification



Neural networks for **extreme** classification



Neural networks for **extreme** classification

Training objective: minimise **softmax cross-entropy**

$$\log \sum \exp$$

Cockatoo	Parrot	Pigeon	Magpie	Sparrow	Crow	Albatross	...	Bird of Paradise
5.0	2.2	-1.1	0.3	0.1	-4.8	-1.9	...	0.5

-

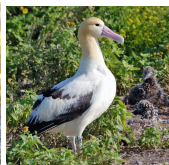
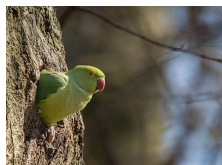
5.0

Hard to compute even for a single sample!

Negative sampling

Select a subset of “**negative**” labels to contrast against “**positive**”

“Positive” label

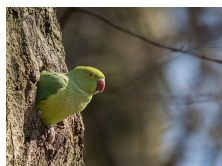


“Negative” labels

Negative sampling

Select a subset of “**negative**” labels to contrast against “**positive**”

“Positive” label



“Negative” labels

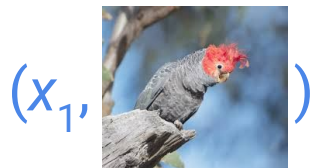
Ideally, we would like the sampling to:

- Be **easy** to compute
- Result in **informative** negatives

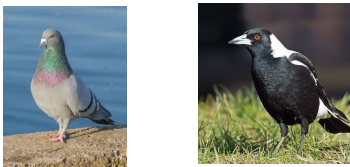
Choosing the sampling distribution

Solution #1: within-batch negatives

"Positive" label



"Negative" labels



Easy to compute



Biased towards frequent labels

Choosing the sampling distribution

Solution #2: uniform random negatives

“Positive” label



“Negative” labels



Easy to compute



Not biased towards any label



May not be informative

Choosing the sampling distribution

Solution #3: **hard** negative mining

“Positive” label



“Negative” labels



Maximally informative



Hard to compute

Finding hard-negatives

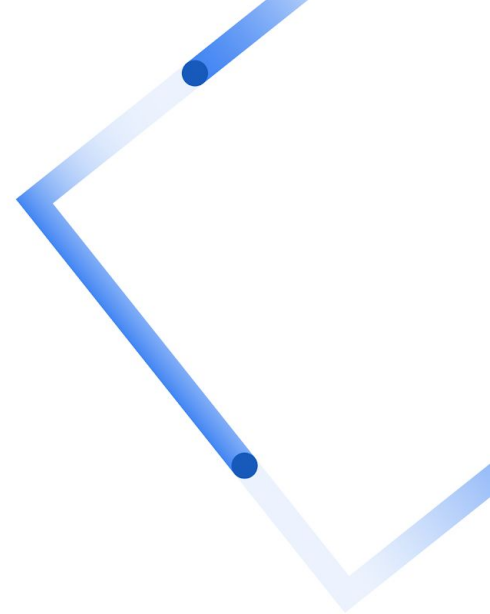
Ideally, find labels that are **maximally confusing** for model

- ☹️ this set changes as training progresses
- 😡 finding these exactly still requires sweeping over all labels!
- 😊 can **approximate**: find hardest labels **within a large batch** of uniformly sampled labels



04

Model churn



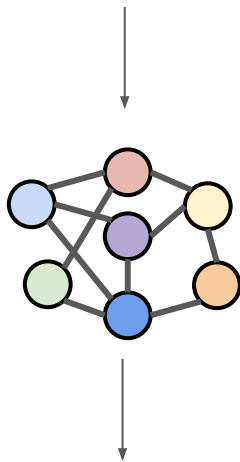
Supervised learning in theory

Training data



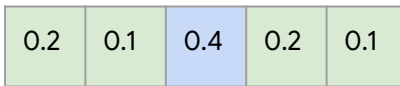
$$\{(x_n, y_n)\}_{n=1}^N$$

Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

Model predictions



$$f(x^*)$$

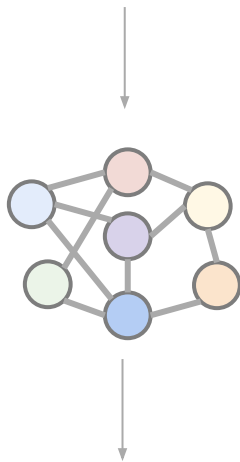
Supervised learning in practice

Training data



$$\{(x_n, y_n)\}_{n=1}^N$$

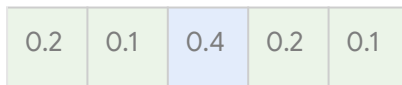
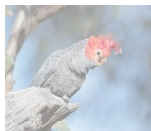
Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

What if this operation is **stochastic**?

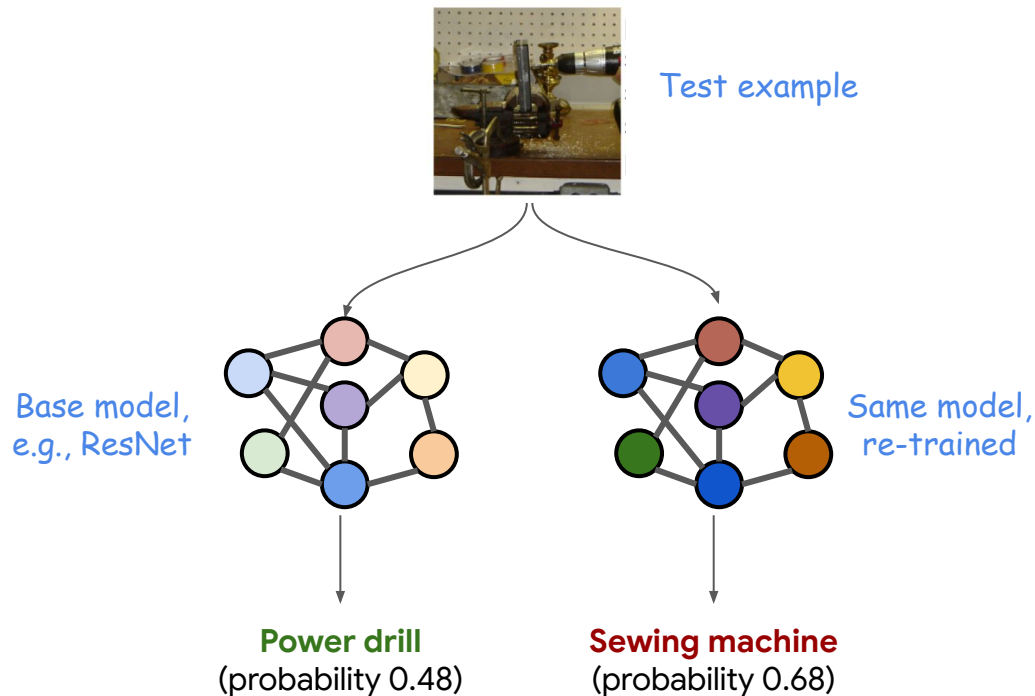
Model predictions



$$f(x^*)$$

Churn in a nutshell

Model prediction disagreement under different training and/or inference conditions



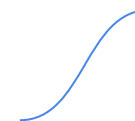
Churn for classification

Suppose we have two classification models, M_1 and M_2
e.g., two independently trained models on the same data

The corresponding churn is the **probability of disagreement**:

$$\text{Churn}(M_1, M_2) = \Pr(M_1(x) \neq M_2(x))$$

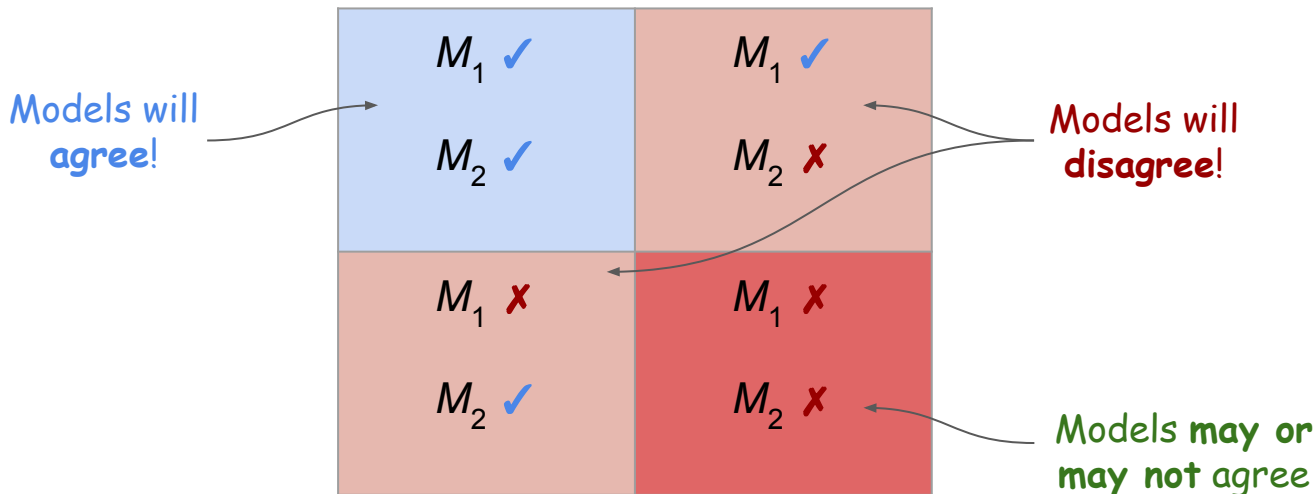
Fraction of times
they predict a
different label



Churn versus accuracy

Churn can only occur when **one or both** models is **wrong**

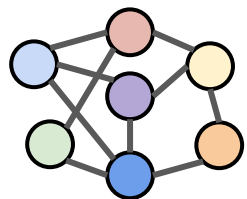
The better the individual models, the lower the churn



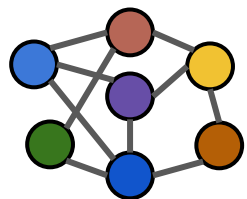
Churn versus accuracy variation

Churn \neq variation in accuracy

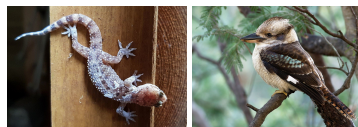
Models may disagree here!



60% accuracy



60% accuracy



✓

✓



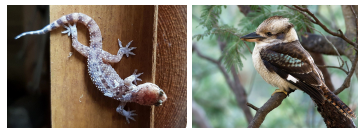
✓



✗



✗



✗

✗



✓



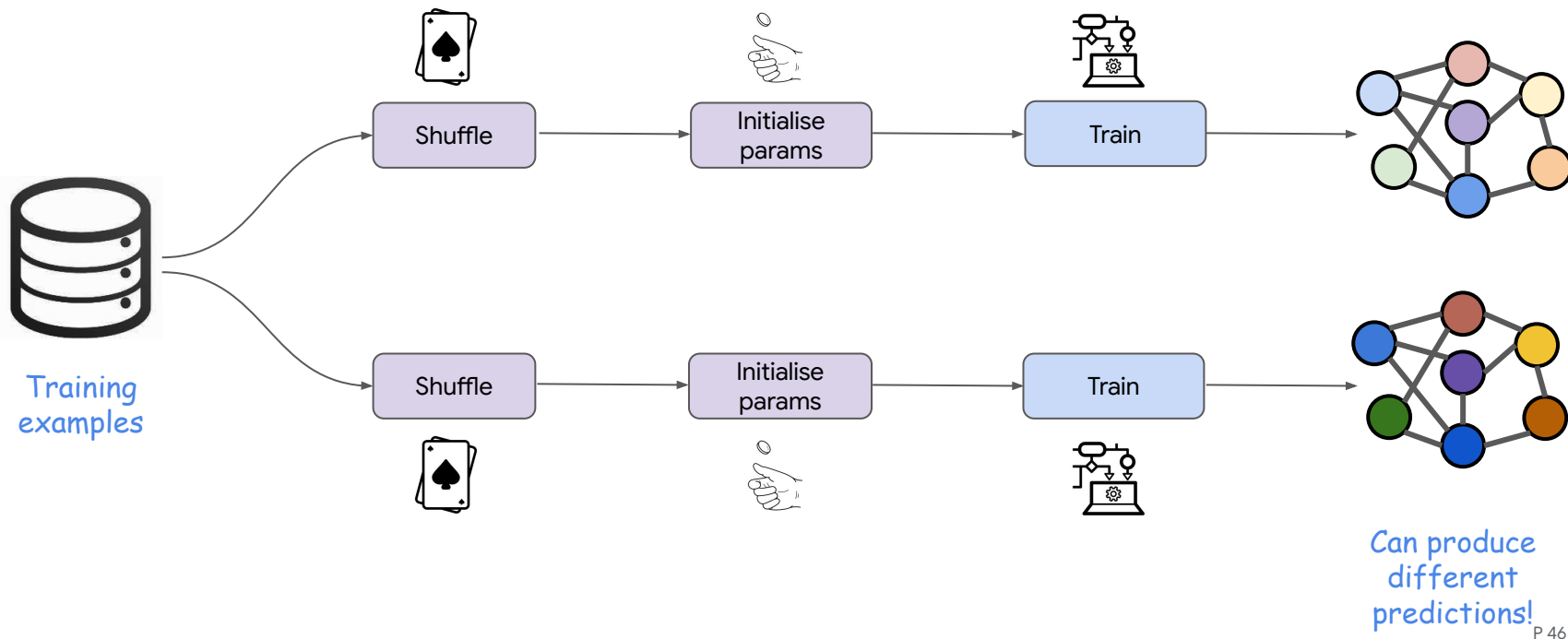
✓



✓

Churn from model training

Churn exists even when training on the **same** data, due to several sources of randomness:



Churn from computing platform

Inherent non-determinism in GPU and TPU

Floating-point addition is not associative!



```
[1] (0.1+0.2)+0.3
    0.60000000000000001
[2] 0.1+(0.2+0.3)
    0.6
```

Do neural models exhibit churn?

Unfortunately, **yes**

Predictions from 5x independently trained ResNet models on ImageNet

76.0% accuracy with 0.1% standard deviation

Disagreement on **15%** of examples!



power drill - 0.48
sewing machine - 0.68
sewing machine - 0.28
sewing machine - 0.53
power drill - 0.87



wooden spoon - 0.24
spaghetti squash - 0.71
French loaf - 0.67
French loaf - 0.57
French loaf - 0.63



swing - 0.82
lawn mower - 0.56
tricycle - 0.49
balance beam - 0.75
lawn mower - 0.45



fountain pen - 0.46
can opener - 0.28
crossword - 0.62
hammer - 0.22
crossword - 0.5

How do we mitigate such prediction differences?

Co-distillation

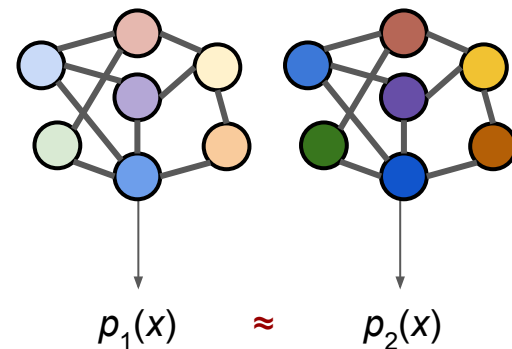
Motivation: churn is partly a result of randomness in training

Idea: explicitly try to smooth out this randomness!

Approach: train two independent models, and encourage their predictions to be similar to each other

Can be seen as “co-distillation”

Bonus: also improves performance!

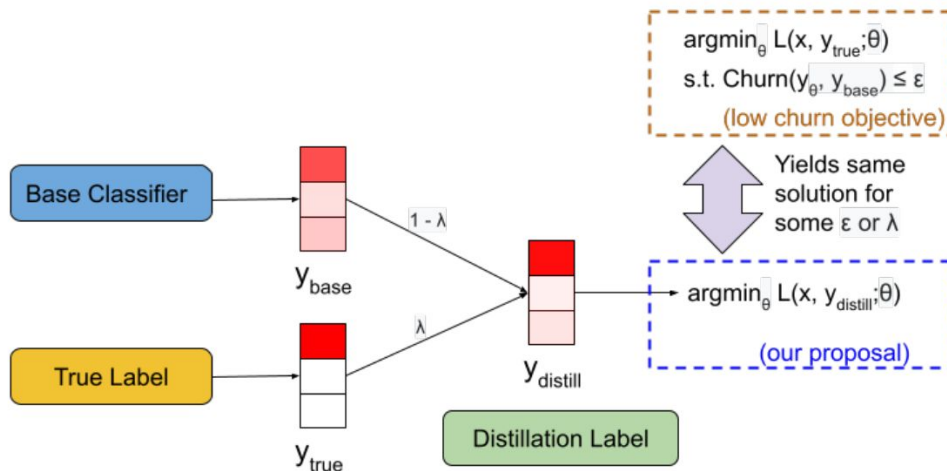


Distillation for churn

Churn can also occur more generally between model versions
e.g., models trained on different weeks, with different architectures, ...

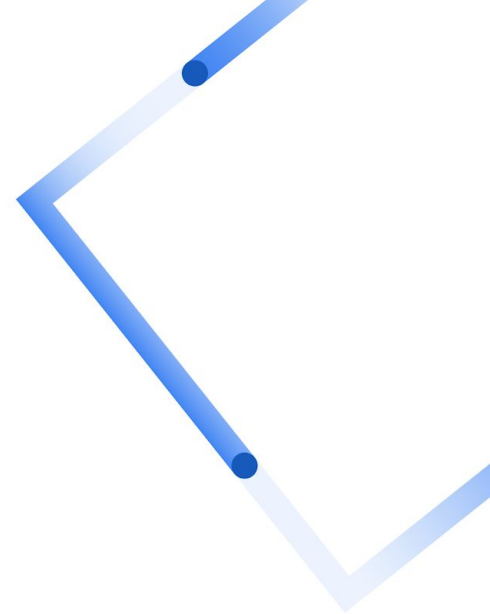
Idea: constrain predictions to be similar to original model

Implementation: distillation!



05

Summary



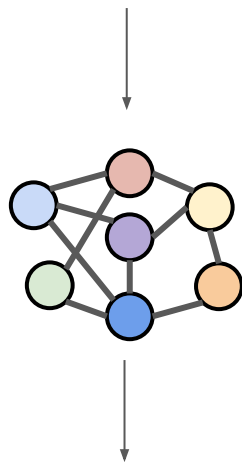
Supervised learning in practice!

Training data



$$\{(x_n, y_n)\}_{n=1}^N$$

Model training



$$\min_{f \in \mathcal{F}} \frac{1}{N} \sum_{n \in N} \ell(y_n, f(x_n))$$

Churn
reduction

Negative
mining

Distilled
label

Model predictions



0.2	0.1	0.4	0.2	0.1
-----	-----	-----	-----	-----

$$f(x^*)$$

Thank you!

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Research Scientist

Google NYC

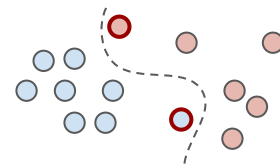
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Entropy regularisers

Motivation: churn occurs when samples' labels flip

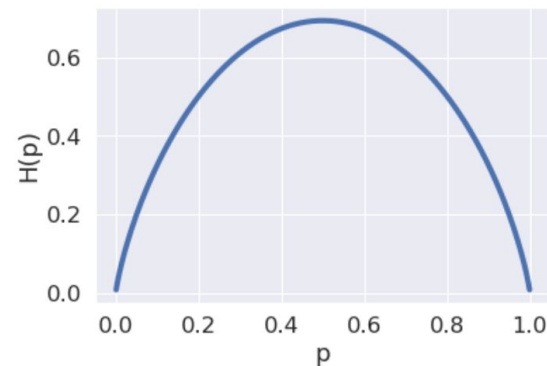


Idea: move examples away from the classifier boundary!

Approach: reduce prediction entropy: for logits p , penalise

$$H(p) = -\sum_i p_i \log p_i$$

discourage highly uncertain predictions



Churn from data changes

Refreshes of the data can change the learned model

